

# REQUEST FOR CHANGE IN SUB-BROKERAGE SLABS

To,  
M/s. Guinness Securities Ltd.,  
10, Canning Street, 3<sup>rd</sup> Floor,  
Kolkata – 700 001.



Date :

Form A1-EFO

Dear Sir,

Ref: Rem A/c. **REM** \_\_\_\_\_ in the name of \_\_\_\_\_ for Branch **B**

I/ We hereby propose you to revise my/ our Sub-brokerage slabs as per the given structure:-

Present Slab					
SEGMENT	DELIVERY		SQUARE OFF		MINIMUM
	CO.SHARE	S.BROKER SHARE	CO.SHARE	S.BROKER SHARE	
NSE & BSE					
FUTURE					
OPTION					

Proposed Slab					
SEGMENT	DELIVERY		SQUARE OFF		MINIMUM
	CO.SHARE	S.BROKER SHARE	CO.SHARE	S.BROKER SHARE	
NSE & BSE					
FUTURE					
OPTION					

Reason for above change*		
Particulars	Present	Proposed
Gross Monthly Avg. Brokerage (Last 12 Months)		
Net Monthly Avg. Brokerage (Last 12 Months)		
Turn over Monthly Avg. (Last 12 Months)		
NO. OF CLIENTS		

*\*Reason columns should be filled in*

You are hereby requested to revise the brokerage sharing slabs as proposed above.

I further agree that if within three months I fail to achieve the proposed brokerage as above, then the Company may change my brokerage back to the old slab.

Thanking You.

Yours faithfully,

(Authorised Signatory)

### FOR BACK OFFICE USE ONLY

Proposal received & approved / rejected

Comments:

If approved then date of effect :

\_\_\_\_\_  
**Approved By**

\_\_\_\_\_  
**A/c Department**

\_\_\_\_\_  
**Record Keeper**

Note:

1. Approved requests received on or after 1<sup>st</sup> day of the month will not be considered for the calculation of Sub brokerage for the preceding month.
2. Fraction in Minimum Brokerage is not acceptable.